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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. CFP-013846 (15745-392)

First Inventor Chia-Ming Tsai, et al.

Title MODULAR UNINTERRUPTIBLE POWER SUPPLY SYSTEM AND  
CONTROL METHOD THEREOF

Express Mail Label No. EV 306108074 US

03916 U.S. PTO  
10/640555  
08/13/03

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.  
See 37 CFR 1.27.
- Specification [Total Pages **33**]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets **11**]
- Oath or Declaration [Total Pages **2**]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)
  - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

## ACCOMPANYING APPLICATIONS PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 C.F.R. §3.73(b) Statement  Power of (when there is an assignee) Attorney
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.  Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No: \_\_\_\_\_ / \_\_\_\_\_  
Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)			or <input checked="" type="checkbox"/> Correspondence address below	
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Signature			Date
			August 13, 2003

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 898)

Complete if Known

Application Number	
Filing Date	August 13, 2003
First Named Inventor	Chia-Ming Tsai, et al.
Examiner Name	
Group / Art Unit	
Attorney Docket No.	CFP-013846 (15745-392)

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)					
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number <input type="text" value="501188"/>				3. ADDITIONAL FEES Large Entity      Small Entity Fee Code Fee (\$ )      Fee Code Fee (\$ )      Fee Description      Fee Paid 105      130      205      65      Surcharge - late filing fee or oath 127      50      227      25      Surcharge - late provisional filing fee or cover sheet. 139      130      139      130      Non-English specification 147      2,520      147      2,520      For filing a request for reexamination 112      920*      112      920*      Requesting publication of SIR prior to Examiner action 113      1,840*      113      1,840*      Requesting publication of SIR after Examiner action 115      110      215      55      Extension for reply within first month 116      410      216      205      Extension for reply within second month 117      930      217      465      Extension for reply within third month 118      1,450      218      725      Extension for reply within fourth month 128      1,970      228      985      Extension for reply within fifth month 119      320      219      160      Notice of Appeal 120      320      220      160      Filing a brief in support of an appeal 121      280      221      140      Request for oral hearing 138      1,510      138      1,510      Petition to institute a public use proceeding 140      110      240      55      Petition to revive - unavoidable 141      1,300      241      650      Petition to revive - unintentional 142      1,300      242      650      Utility issue fee (or reissue) 143      470      243      235      Design issue fee 144      630      244      315      Plant issue fee 122      130      122      130      Petitions to the Commissioner 123      50      123      50      Processing fee under 37 CFR 1.17 (q) 126      180      126      180      Submission of Information Disclosure Stmt 581      40      581      40      Recording each patent assignment per property (times number of properties)      40 146      750      246      375      Filing a submission after final rejection (37 CFR § 1.129(a)) 149      750      249      375      For each additional invention to be examined (37 CFR § 1.129(b)) 179      750      279      375      Request for Continued Examination (RCE) 169      900      169      900      Request for expedited examination of a design application Other fee (specify) _____					
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other									
FEE CALCULATION									
1. BASIC FILING FEE Large Entity      Small Entity Fee Code Fee (\$ )      Fee Code Fee (\$ )      Fee Description      Fee Paid 101      750      201      375      Utility filing fee <input type="text" value="750"/> 106      330      206      165      Design filing fee <input type="text"/> 107      520      207      260      Plant filing fee <input type="text"/> 108      750      208      375      Reissue filing fee <input type="text"/> 114      160      214      80      Provisional filing fee <input type="text"/> SUBTOTAL (1) <input type="text" value="(\$ 750)"/>									
2. EXTRA CLAIM FEES Total Claims <input type="text" value="26"/> -20 ** = <input type="text" value="6"/> X <input type="text" value="18"/> = <input type="text" value="108"/> Independent Claims <input type="text" value="3"/> -3 ** = <input type="text" value="0"/> X <input type="text" value="0"/> = <input type="text" value="0"/> Multiple Dependent      X <input type="text"/> = <input type="text" value="0"/> Large Entity      Small Entity Fee Code Fee (\$ )      Fee Code Fee (\$ )      Fee Description 103      18      203      9      Claims in excess of 20 102      84      202      42      Independent claims in excess of 3 104      280      204      140      Multiple dependent claim, if not paid 109      84      209      42      ** Reissue independent claims over original patent 110      18      210      9      ** Reissue claims in excess of 20 and over original patent SUBTOTAL (2) <input type="text" value="(\$ 108)"/>									
*Reduced by Basic Filing Fee Paid      SUBTOTAL (3) <input type="text" value="(\$ 40)"/>									

\*\*or number previously paid, if greater; For Reissues, see above

SUBMITTED BY						Complete (if applicable)
Name (Print/Type)	Alan D. Kamrath	Registration No. Attorney/Agent	28,227	Telephone	612-340-8925	
Signature				Date	August 13, 2003	

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